



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/684,218         | 10/06/2000          | Robert J. Jones       | 5259-04800             |

CONLEY, ROSE & TAYON  
A PROFESSIONAL CORPORATION  
THE CHASE BUILDING  
700 LAVACA, SUITE 800  
AUSTIN, TX 78701-3102

FORMALITIES LETTER



\*OC000000005608007\*

Date Mailed: 12/08/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1302.
  - \$1062 for 59 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 2142.

09/684,218

50/505

50/505  
355.00  
531.00  
120.00  
65.00

01/02/2001 BNGUYEN1 00000015 50/505  
FC:201  
FC:203  
FC:202  
FC:205  
01 02 03 04

*Customer Service Center*  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



*SECTOR*  
\$  
PATENT  
5259-04800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

§ Examiner: Unknown

McBride, et al.

§ Group Art Unit: 3731

Serial No.: 09/684,218

§ Atty. Docket. No.: 5259-04800/EBM

Filed: October 6, 2000

§

For: ADJUSTABLE TRANSVERSE  
CONNECTOR

§

CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Attn: BOX MISSING PARTS, Washington, D.C. 20231, on the date indicated below.

*2-8-01*

Date

*Elda Gonzalez*

Elda Gonzalez

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -**  
**FILING DATE GRANTED, MAILED DECEMBER 8, 2000**

**ATTN: Box Missing Parts**

Commissioner for Patents

Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of

Application - Filing Date Granted:

- (1) Copy of Form PTO-1533, Notice to File Missing Parts - Filing Date Granted (2 pages);
- (2) Originally executed Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney (2 pages);
- (3) Form PTO-1595 Assignment Recordation Cover Sheet (1 page);
- (4) Originally executed Assignment (2 pages);
- (5) Originally executed Declaration (3 pages);

- (6) Originally executed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR §§ 1.9(f) and 1.27(c)) – Small Business Concern (2 pages);
- (7) Fee Authorization in the amount of \$1,111.00 to cover the filing fee for a small entity as follows (2 pages):
  - \$ 355.00 -- basic filing fee;
  - \$ 651.00 -- surcharge for excess claims;
  - \$ 65.00 -- surcharge for late filing of declaration and fee;
  - \$ 40.00 -- assignment recordation fee; and
- (8) A return postcard.

If any extension of time is required, Applicant hereby respectfully requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 50-1505/5259-04800/EBM.

Respectfully submitted,



David W. Quimby  
Reg. No. 39,338

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398  
(512) 476-1400 (voice)  
(512) 703-1250 (facsimile)

Date: Feb. 8, 2001



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/684,218

Filed: October 6, 2000

Inventor(s):

McBride, et al.

Title: ADJUSTABLE  
TRANSVERSE  
CONNECTOR

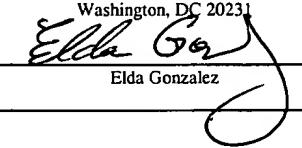
§ Examiner: Unknown  
§ Group/Art Unit: 3731  
§ Atty. Dkt. No: 5259-04800/EBM  
§

CERTIFICATE OF MAILING  
UNDER 37 C.F.R. §1.8

DATE OF DEPOSIT:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

Commissioner for Patents  
Washington, DC 20231

  
Elda Gonzalez

**FEE AUTHORIZATION**

Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5259-04800:

\$355.00 – Basic filing fee;  
\$120.00 – Additional independent claims;  
\$531.00 – Additional claims over 20;  
\$ 65.00 – surcharge for late filing of declaration and fee; and  
\$ 40.00 – assignment recordation fee

Total Amount: \$1,111.00

Attorney Docket No.: 5259-04800

McBride, et al.  
09/684,218

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5259-04800.

Respectfully submitted,



David W. Quimby  
Reg. No. 39,338

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.  
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(512) 703-1250 (facsimile)

Date: Feb. 8, 2001